

In re application of:
Akira Yoshida

Serial No.: 09/040,539

#3

Filed: 03/17/98

Group Art Unit: 2774

Title: WINDOW DISPLAY DEVICE AND METHOD, AND RECORDING MEDIUM RECORDING A WINDOW
DISPLAY CONTROL PROGRAMASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

SIR:

Transmitted herewith in the above-identified application are:

___ sheets of informal drawing(s)	___ Information Disclosure Statement
___ Amendment	<u>X</u> Notice to File Missing Parts (\$130.00)
___ Letter to Examiner	<u>X</u> Declaration and Power of Attorney
___ Letter to Draftsman	___ Assignment of the Invention to IBM (\$40.00)
___ Notice of Appeal (\$280.00)	___ Petition/Fee for Ext. of Time (\$.00)
___ Appeal Brief (\$280.00)	___ Issue Fee (\$1,170.00)
___ No additional fee is required	<u>X</u> Return Postcard

The fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
TOTAL	MINUS 20	= 0	x 22 =	\$.00
INDEP.	MINUS 3	= 0	x 82 =	\$.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+270 =	\$
			Surcharge	\$
			TOTAL	\$

X Please charge my Deposit Account No. 09-0460 in the amount of \$130.00.
A duplicate copy of this sheet is attached.

X The Commissioner is hereby authorized to charge payment of the following fees
associated with this communication or credit any overpayment to Deposit
Account 09-0460. A duplicate copy of this sheet is attached.

X Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
X Any patent application processing fees under 37 CFR 1.17.

CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is
being deposited with the United States Postal
Service as first class mail in an envelope
addressed to the Assistant Commissioner for
Patents, Washington, DC 20231 on
June 12, 1998
Person Mailing paper/fee
Dana Angel Asis

Signature

Prentiss Wayne Johnson
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San Jose, CA 95141-1003



UNITED STATES DEPARTMENT OF COMMERCE
 Patent and Trademark Office
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/040,539 03/17/98 YOSHSIDA A JA997028

0222/0427

D. A. KAFOS
 IBM CORPORATION
 INTELLECTUAL PROPERTY LAW
 555 BAILEY AVENUE
 SNA JOSE CA 95141

NOT ASSIGNED

2774

DATE MAILED:

04/27/98

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130

☒ 1. The statutory basic filing fee is:

☐ missing

☐ insufficient

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27)

☒ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for independent claims over 3.

\$ _____ for dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☐ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s) identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☒ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FORM PTO-1533 (REV. 9-97)

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